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BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keith L. Frost et al.

Attorney Docket No: BIOL0017

Serial No: 09/976,237

Group Art Unit: 2877

Filed: October 12, 2001

Examiner:

Title: METHOD AND APPARATUS FOR READING  
REPORTER LABELED BEADS

SUPPLEMENTAL TRANSMITTAL  
OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

January 17, 2002

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JUN 12 2002

Technology Center 2600

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

On December 5, 2001, applicants submitted original, signed documents to complete the filing of the above-identified patent application, in accordance with the Notice to File Missing Parts dated November 27, 2001. The period for responding to the notice is set to expire on January 27, 2002. Applicants submit that they timely filed the missing parts and paid all associated fees with the submission of December 5, 2001.

A complete copy of all documents previously submitted on December 5, 2001 are enclosed herewith, and include a copy of the original Transmittal of Missing Parts of Patent Application/Request for Recordation of Assignment, Combined Declaration and Power of Attorney executed by all inventors in this case, Assignment Cover Sheet, Assignment to Amnis Corporation, Notice to File Missing Parts, a check for \$475 which included the \$370 filing, \$65 surcharge, and \$40 assignment recording fees, and a return receipt postcard detailing these items.

A new check No. 5668 in the amount of \$475 is enclosed herewith to cover the fees which have apparently not yet been processed by the United States Patent and Trademark Office in light of the anthrax problems faced by the U.S. Postal Service in the Washington, D.C. area and the resultant delays in mail processing at the United States Patent and Trademark Office. Applicants resubmit these documents to insure that the application filing will now be completed.

1 Additional Fee Charges or Credit for Overpayment

2 Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

3 A copy of this sheet is enclosed.

4 Respectfully submitted,

5 *Ron Anderson*

6 Ronald M. Anderson  
7 Registration No. 28,829

8 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
9 envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and  
10 Trademark Office, P.O. Box 2327, Arlington, VA 22202, on January 17, 2002.

11 Date: January 17, 2002

*KATIE J. JAMES*  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,237	10/12/2001	Keith L. Frost	BIOL0017

Ronald M. Anderson  
Suite 507  
600 - 108th Avenue N.E.  
Bellevue, WA 98004



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CONFIRMATION NO. 9706

## FORMALITIES LETTER



\*OC000000007125836\*

Date Mailed: 11/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice MUST be returned with the reply.*

82/1

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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RONALD M. ANDERSON  
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STERLING SAVINGS ASSOCIATION  
BELLEVUE OFFICE  
500-108TH AVE. N.E., STE. E  
BELLEVUE, WA 98004-5500  
28-7174/3251

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12/5/2001

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memo Filing Fee USSN 09/976,237 BIOL0017

*Ron Anderson* MP

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**BIOL0017** The following have been received in the U.S. Patent and Trademark Office on the date stamped hereon:

1. Transmittal of Missing Parts of Patent Application/Request for Recordation of Assignment (small entity) (2pp, in duplicate)
2. Executed Combined Declaration and Power of Attorney (3pp)
3. Assignment Cover Sheet (1pg), Assignment to Amnis Corporation (4pp)
4. Copy of Notice to File Missing Parts (1pg)
5. \$475 check for \$370 filing, \$65 surcharge, and \$40 assignment recording fees
6. Return receipt postcard

Re: U.S. Patent Application Serial No: 09/976,237

Filed: 10/12/2001

Applicant: Keith L. Frost et al.

Group Art Unit: 2877

Attorney Docket No.: BIOL0017

Title: METHOD AND APPARATUS FOR READING  
REPORTER LABELED BEADS

MAILED ON DECEMBER 5, 2001  
BY FIRST CLASS MAIL

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keith L. Frost et al. Attorney Docket No: BIOL0017

Serial No: 09/976,237 Group Art Unit: 2877

Filed: October 12, 2001 Examiner:

Title: METHOD AND APPARATUS FOR READING  
REPORTER LABELED BEADSRECEIVED  
JUN 12 2002  
Technology Center 2600TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

December 5, 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

A. Transmitted Herewith Are The Following:

- ☒ 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- ☒ 2. An Assignment of the invention to **Amnis Corporation** is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- ☒ 3. A copy of the Notice to File Missing Parts.
- ☒ 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPUTATION OF FEE

	<u>Number of Claims Filed</u>	<u>Number of Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u> \$370
Total Claims	1 - 20 =	-0-	\$9	-0-
Independent Claims	1 - 3 =	-0-	\$42	-0-
Multiple Dependent Claims	---	---	\$140	-0-
Total Filing Fee				\$370
Surcharge Fee				65
Assignment Recording Fee				40
TOTAL FEE				\$ 475

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JUL 23 2002

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1 X 5. Our check No. 5553 in the amount of \$475 to cover the total fee as  
2 computed above.

3 B. Additional Fee Charges or Credit for Overpayment

4 Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

5 A copy of this sheet is enclosed.

6 Respectfully submitted,

7 *Ron Anderson*

8 Ronald M. Anderson  
9 Registration No. 28,829

10 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a  
11 sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of  
12 Patents and Trademarks, Washington, D.C. 20231, on December 5, 2001.

13 Date: December 5, 2001

14 *Kathy Panti*